



### **Section 3: Facilities, Equipment, and Other Resources**

(to be completed by the lead PI)

**Facilities, Equipment, and Other Resources Needs** (Check all that apply. Attach documentation to this form demonstrating responsibility area director has been information of the proposed project's impact on resources.):

Physical space to house new equipment:

Alterations/renovations to existing physical space:

Technician/ITS support for new equipment:

Equipment operations and/or maintenance required beyond the grant period:

Purchase of computer, hardware, software, or related items:

Website, server, system networking, cabling, or related items:

Support of department administrative assistant :

Office, computer, and/or phone for project personnel hired through grant:

Course buy-out or other leave (**complete and attach a [course coverage plan](#)**)

Other, please describe:

None. This project will not impact Union College facilities, equipment, or other resources.

### **Section 4: Sponsor and Institutional Compliance Considerations**

(to be completed by the lead PI)

**Are all project personnel aware of [Union College's policy](#) for training in the Responsible and Ethical Conduct of Research (RECR) and Responsible Conduct of Research (RCR)?**

No

Yes, all personnel will comply with sponsor and institutional policies and guidelines for training in RECR or RCR

**Does the proposed research include human subjects?**

No

Yes, all personnel will comply with sponsor and [institutional policies and guidelines](#) for conducting research with human subjects.

**Does the proposed research involve animals?**

No

Yes, the proposed activities include animals and all project personnel will comply with sponsor and [institutional policies](#) and guidelines for activities using animals.

**For proposals submitted to the National Science Foundation (NSF): Do the proposed activities involve any off-site or off-campus research? Off-campus or off-site research is defined as data/information/samples being collected off-campus or off-site, such as fieldwork and research activities on vessels and aircraft.**

No

Yes, all personnel will complete and comply with [Union College's Plan for Safe & Inclusive Working Environments for Off-Campus or Off-Site Research](#)

N/A

**Are hazardous chemicals, controlled substances, radioactive materials, recombinant DNA, and/or infection agents involved in the proposed activities?**

No

Yes, all project personnel will comply with sponsor and [institutional policies and guidelines](#) for science safety.

**Will the proposed research activities involve certain high-consequence pathogens and toxins with potential to be considered dual use research of concern?**

No

Yes, this research potentially falls within the scope of the [US Government Policy for Institutional Oversight of Life Sciences Dual Use Research of Concern](#)

**Will any intellectual property be used in or produced through the proposed research?**

No

Yes, all project personnel will comply with the intellectual property policy found in the [Faculty Manual, Section V.IV.](#)

**Are all project personnel aware of Union College's policy on scientific misconduct?**

No  
Yes, all project personnel will comply with the policy on scientific misconduct found in the [Faculty Manual, Section V.V.](#)

**Have (or will) any project personnel lobbied on behalf of this proposal by contacting an individual from the Legislative or Executive Branch of the US Government?**

No  
Yes

**Section 5: Principal Investigator & Co-Principal Investigator Certifications and Signature**

(to be completed by all PIs)

By providing my signature below and submitting this form and all relevant attachments:

**I certify** that I am not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency.

**I certify** that all named project participants are compliant with Union College's Drug-Free Workplace Policy found in the [Faculty Manual, Section V.XI.](#)

**I certify** that I have reviewed and will comply with [policies](#) related to Financial Conflict of Interest (FCOI).

**I certify** that the information and certifications provided above are true and complete to the best of my knowledge. I agree to accept responsibility for project programmatic and fiscal conduct in accordance with the sponsor and Union College guidelines and will provide all reports required by the sponsor and Union College on or before their due date or day they become overdue.

_____	_____	_____
<b>PI Name</b>	<b>PI Signature</b>	<b>Date</b>
_____	_____	_____
<b>Co-PI Name</b>	<b>Co-PI Signature</b>	<b>Date</b>
_____	_____	_____
<b>Co-PI Name</b>	<b>Co-PI Signature</b>	<b>Date</b>
_____	_____	_____
<b>Co-PI Name</b>	<b>Co-PI Signature</b>	<b>Date</b>
_____	_____	_____
<b>Co-PI Name</b>	<b>Co-PI Signature</b>	<b>Date</b>

**Section 6: Department Chair Acknowledgement**

(to be completed by the department chairs of PIs associated with the proposed project)

By providing my signature below, I confirm that I have reviewed this form and any attached materials.

_____	_____	_____
<b>Department Chair Name</b>	<b>Department Chair Signature</b>	<b>Date</b>
_____	_____	_____
<b>Department Chair Name</b>	<b>Department Chair Signature</b>	<b>Date</b>
_____	_____	_____
<b>Department Chair Name</b>	<b>Department Chair Signature</b>	<b>Date</b>
_____	_____	_____
<b>Department Chair Name</b>	<b>Department Chair Signature</b>	<b>Date</b>
_____	_____	_____
<b>Department Chair Name</b>	<b>Department Chair Signature</b>	<b>Date</b>

